Evaluating Patient-Reports about Health

Ron D. Hays, Ph.D.
UCLA Department of Medicine
http://gim.med.ucla.edu/FacultyPages/Hays/

May 19, 2016 12 noon – 1pm

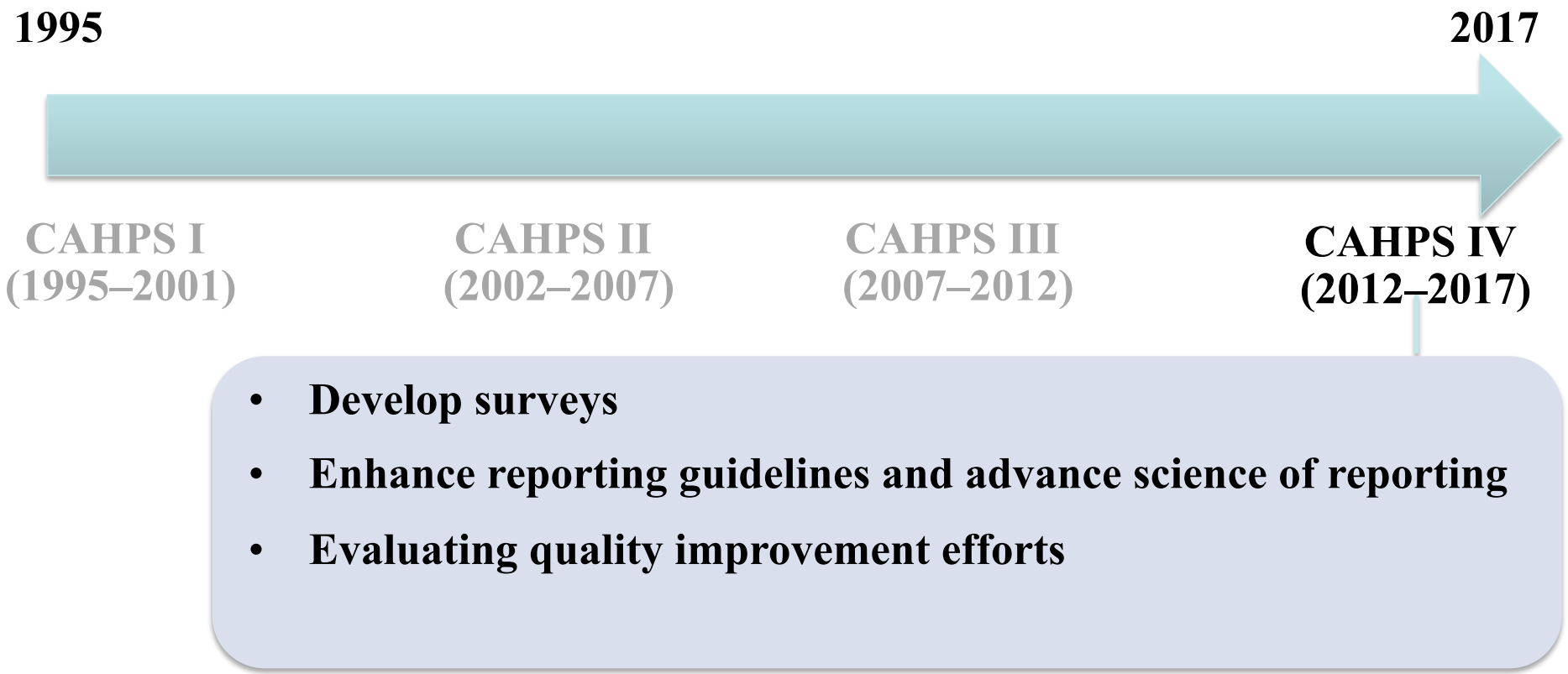
University of Utah Learning Health System Seminar (HSEB 2600)

Physical Functioning

- Able to do a range of activities from basic (e.g., self-care) to advanced (e.g., running)

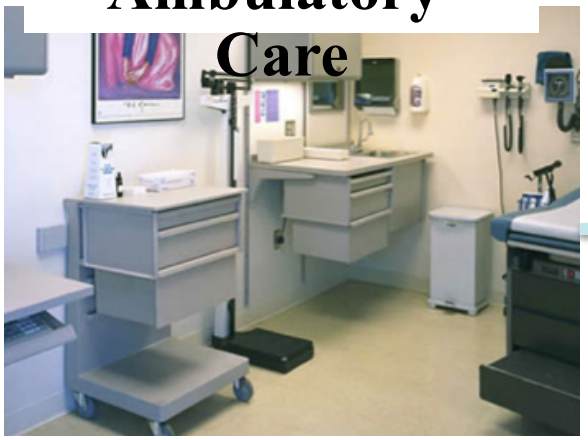
- Six physical functioning items included in the 2010 Consumer Assessment of Healthcare Providers and Systems (CAHPS®) Medicare Survey

Summary of CAHPS Project



CAHPS Now Has a Family of Surveys

Ambulatory Care



- **Health Plan Survey**
- **Clinician & Group Survey**
- **Home Health Care Survey**
- **Surgical Care Survey**
- **ECHO® Survey**
- **Dental Plan Survey**
- **American Indian Survey**

Facility

- **Hospital Survey**
- **In-Center Hemodialysis Survey**
- **Nursing Home Surveys**

CAHPS undisputed leader in measuring patient experience

Because of a health or physical problem are you unable to do or have any difficulty doing the following activities?

- Walking?
- Getting in or out of chairs?
- Bathing?
- Dressing?
- Using the toilet?
- Eating?

Listed from most to least difficult

- I am unable to do this activity (0)
- Yes, I have difficulty (1)
- No, I do not have difficulty (2)

Higher Score is Better

Simple-summated Scoring of Physical Functioning Scale

- I am unable to do this activity (0)
- Yes, I have difficulty (1)
- No, I do not have difficulty (2)

- Possible 6-item scale range: 0-12
  - Mean = 11 (2% floor, 65% ceiling)

Medicare Beneficiary Sample (n = 366,701)

- 58% female
- 57% high school education or less
- 14% 18-64; 48% 65-74, 29% 75-84, 9% 85+

% of Medicare beneficiaries (n = 366,701) selecting each response option

Item **(Some difficulty)**	Unable to do	Have difficulty	No difficulty
Walking **(1/3)**	4	27	69
Chairs **(1/5)**	3	19	78
Bathing **(1/7)**	4	11	85
Dressing **(1/9)**	3	9	88
Toileting **(1/10)**	3	6	91
Eating **(1/16)**	3	3	94

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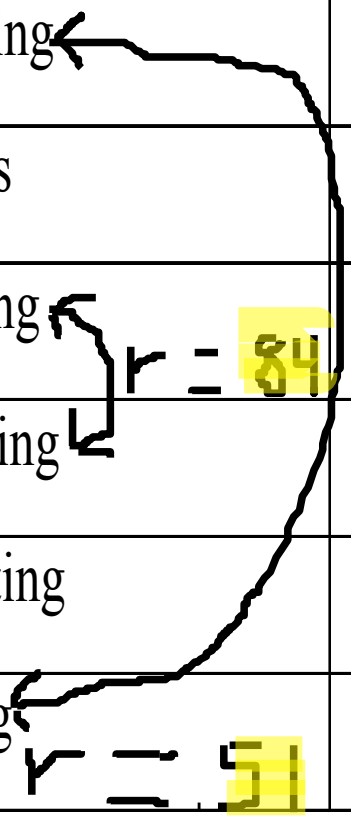
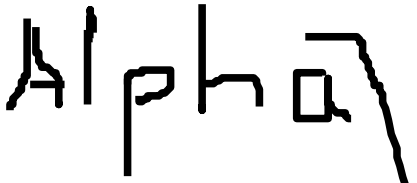


Item-Scale Correlations

Item	Item-Scale Correlations
Walking (0, 1, 2)	0.71
Chairs (0, 1, 2)	0.80
Bathing (0, 1, 2)	0.83
Dressing (0, 1, 2)	0.86
Toileting (0, 1, 2)	0.84
Eating (0, 1, 2)	0.75



Reliability Formulas

Model	Reliability	Intraclass Correlation
Two-way random	$\frac{N(MS_{BMS} - MS_{EMS})}{NMS_{BMS} + MS_{JMS} - MS_{EMS}}$	$\frac{MS_{BMS} - MS_{EMS}}{MS_{BMS} + (k-1)MS_{EMS} + k(MS_{JMS} - MS_{EMS})/N}$
Two-way mixed	$\frac{MS_{BMS} - MS_{EMS}}{MS_{BMS}}$	$\frac{MS_{BMS} - MS_{EMS}}{MS_{BMS} + (k-1)MS_{EMS}}$
One-way	$\frac{MS_{BMS} - MS_{WMS}}{MS_{BMS}}$	$\frac{MS_{BMS} - MS_{WMS}}{MS_{BMS} + (k-1)MS_{WMS}}$

BMS = Between Ratee Mean Square **N = n of ratees**

WMS = Within Mean Square **k = n of items or raters**

JMS = Item or Rater Mean Square

EMS = Ratee x Item (Rater) Mean Square

Internal Consistency Reliability (Coefficient Alpha)

- Coefficient alpha = 0.92

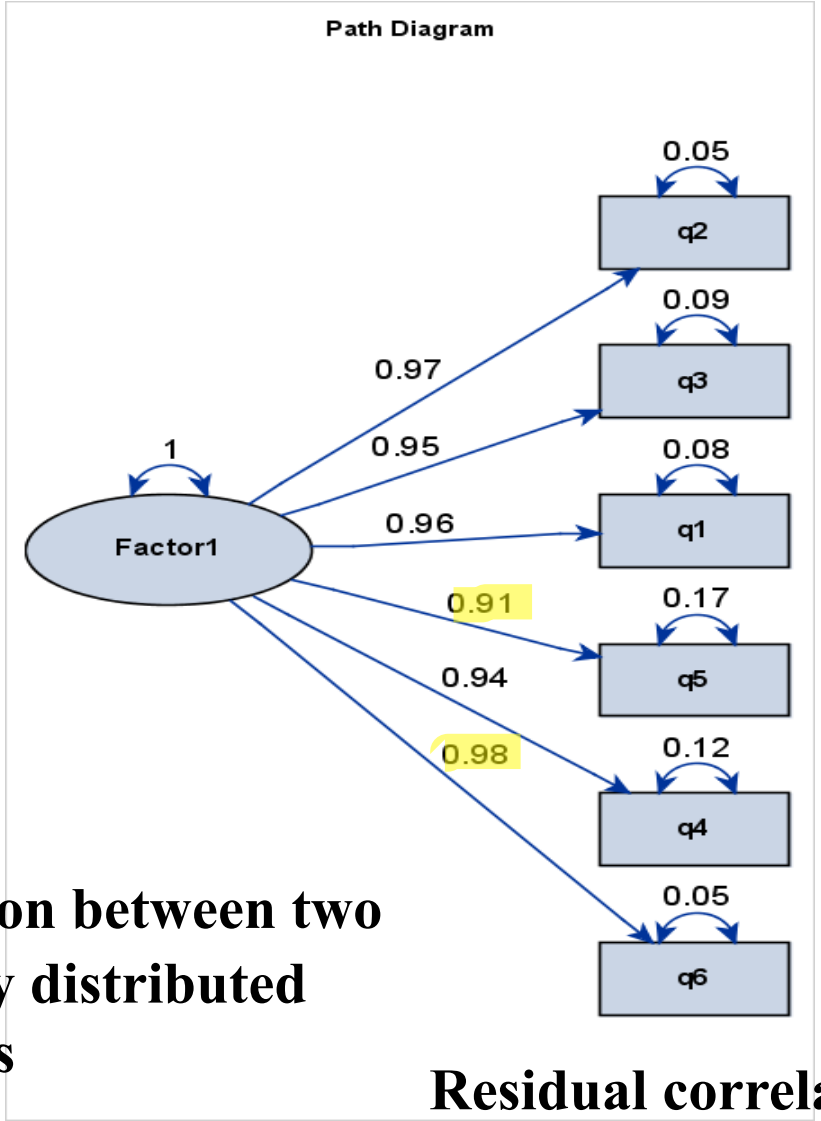
  $(MS_{bms} - MS_{ems})/MS_{bms}$

- Ordinal alpha = 0.98

  -http://support.sas.com/resources/papers/proceedings14/2042-2014.pdf

  -http://gim.med.ucla.edu/FacultyPages/Hays/utils/

Confirmatory Factor Analysis (Polychoric* Correlations)



Dressing

Eating

Bathing

Walking

Chairs

Toileting

***Estimated correlation between two underlying normally distributed continuous variables**

Residual correlations <= 0.04

R. M. Kaplan and D. P. Saccuzzo, Psychological Testing: Principles, Applications, and Issues (2nd Edition). Brooks/Cole Publishing Company1989 (page 152).

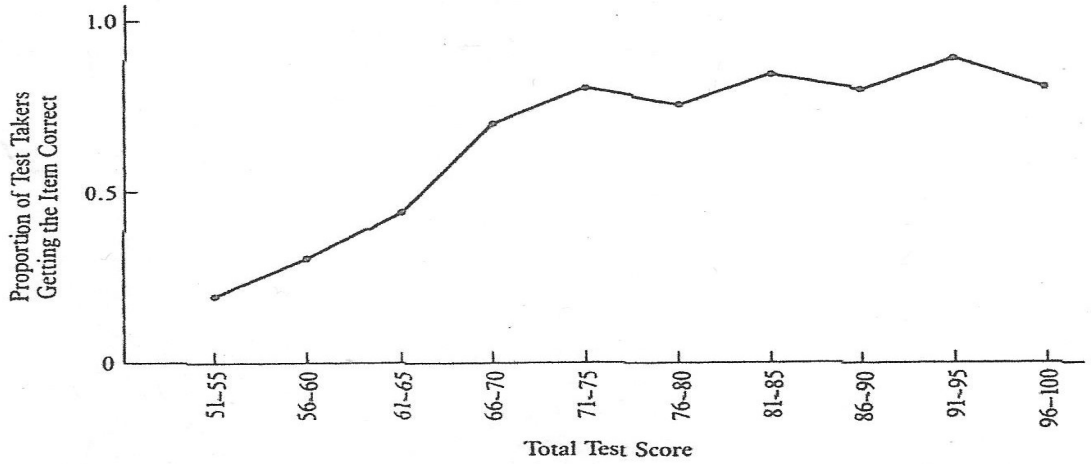
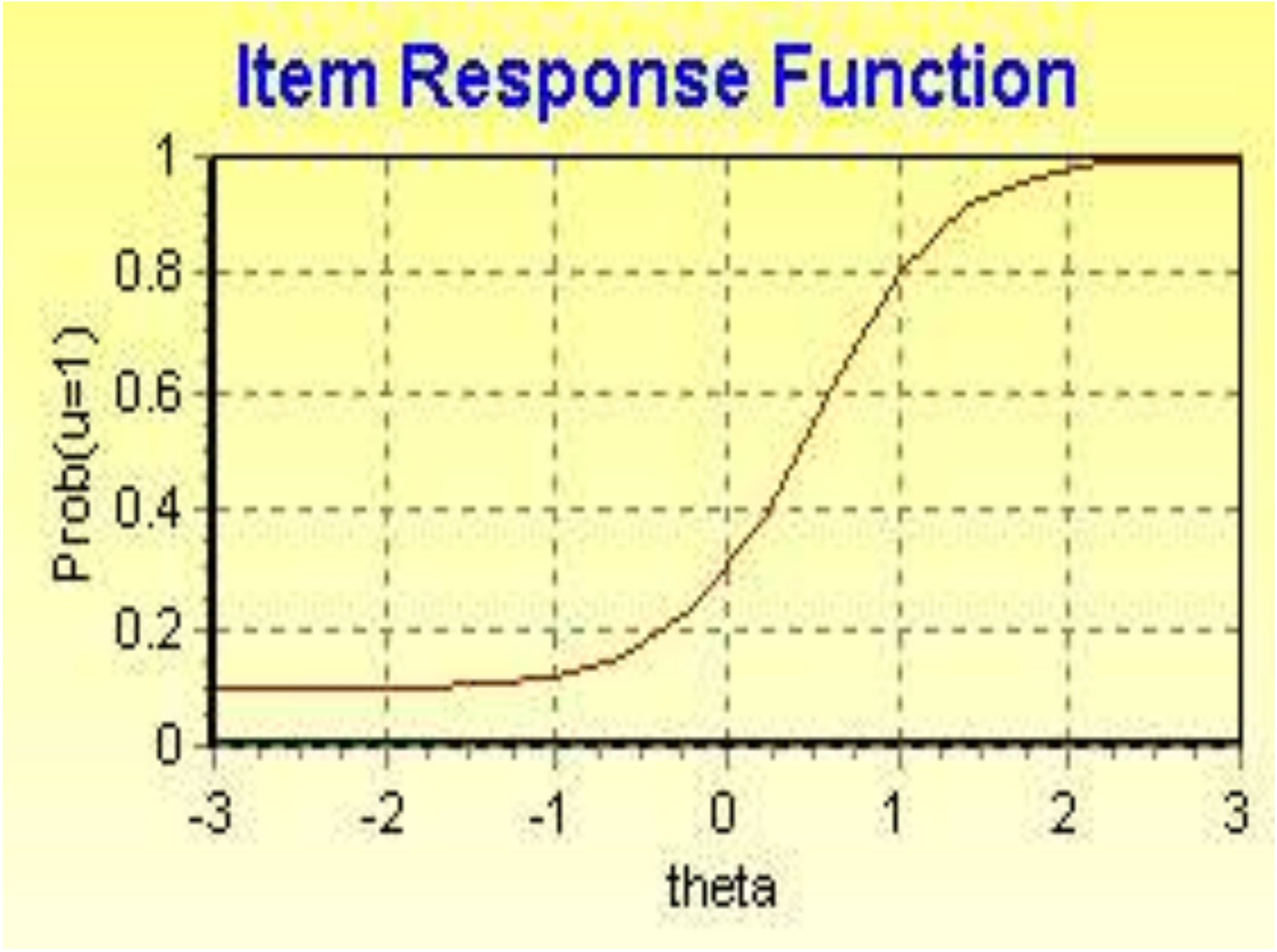


FIGURE 6-3 Item characteristic curve for a test item that discriminates well at low levels of performance but not at higher levels.


Item Response Function
Prob(u=1)
1
0.8
0.6
0.4
0.2
0
-3
-2
-1
0
1
2
3
theta

Item Response Theory (IRT)

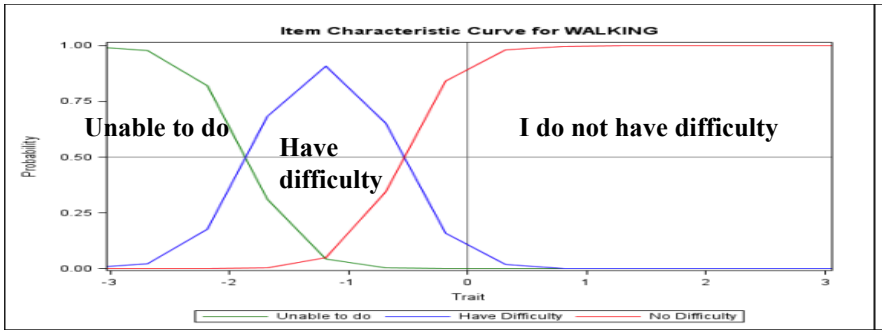
IRT graded response model estimates relationship between a person's response Y_i to the question (i) and his or her level on the latent construct (θ):

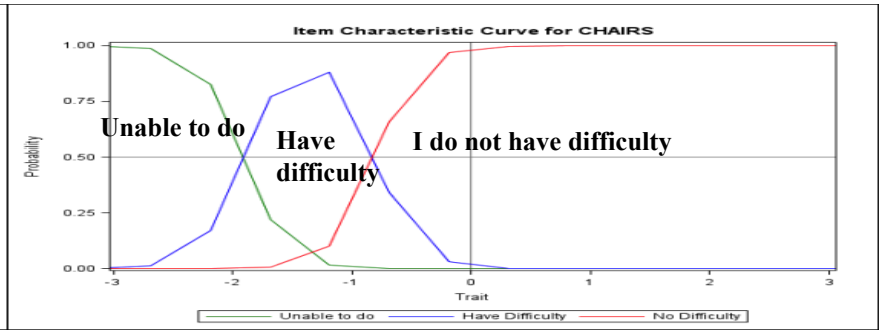
$$\Pr(Y_i \geq k) = \frac{1}{1 + \exp(-a_i\theta + b_{ik})}$$

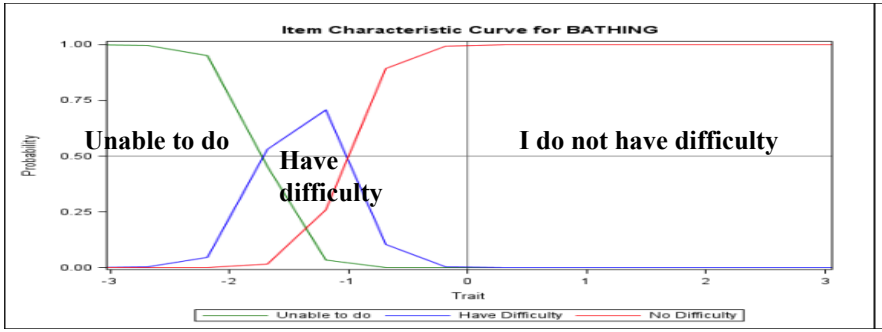
$\mathbf{b_{ik}}$ estimates how difficult it is to have a score of k or more on item (i).

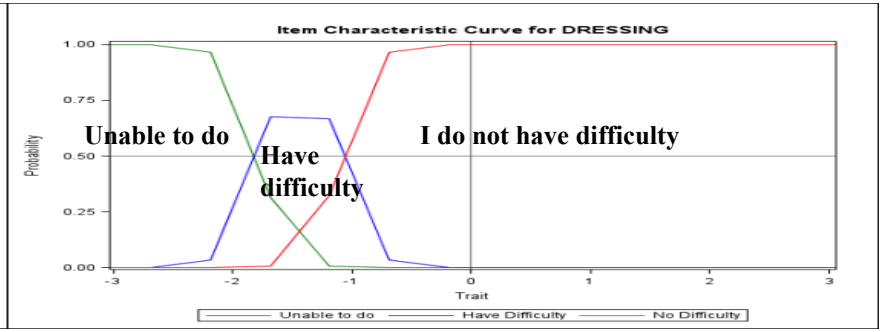
$\mathbf{a_i}$ estimates item discrimination.

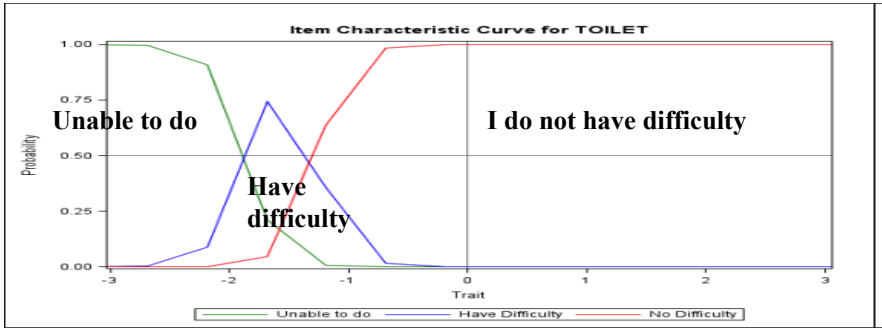
Item Characteristic Curves

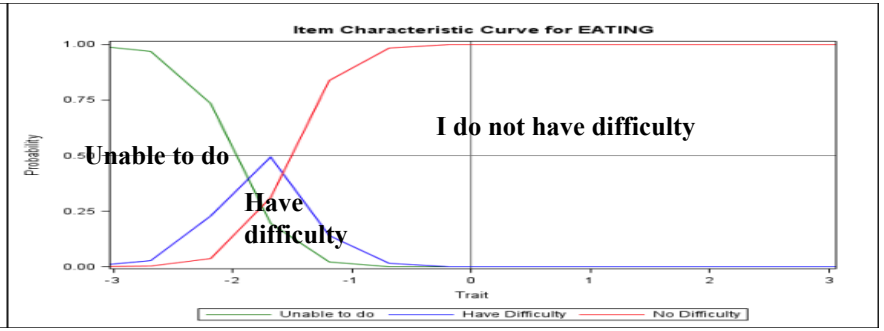












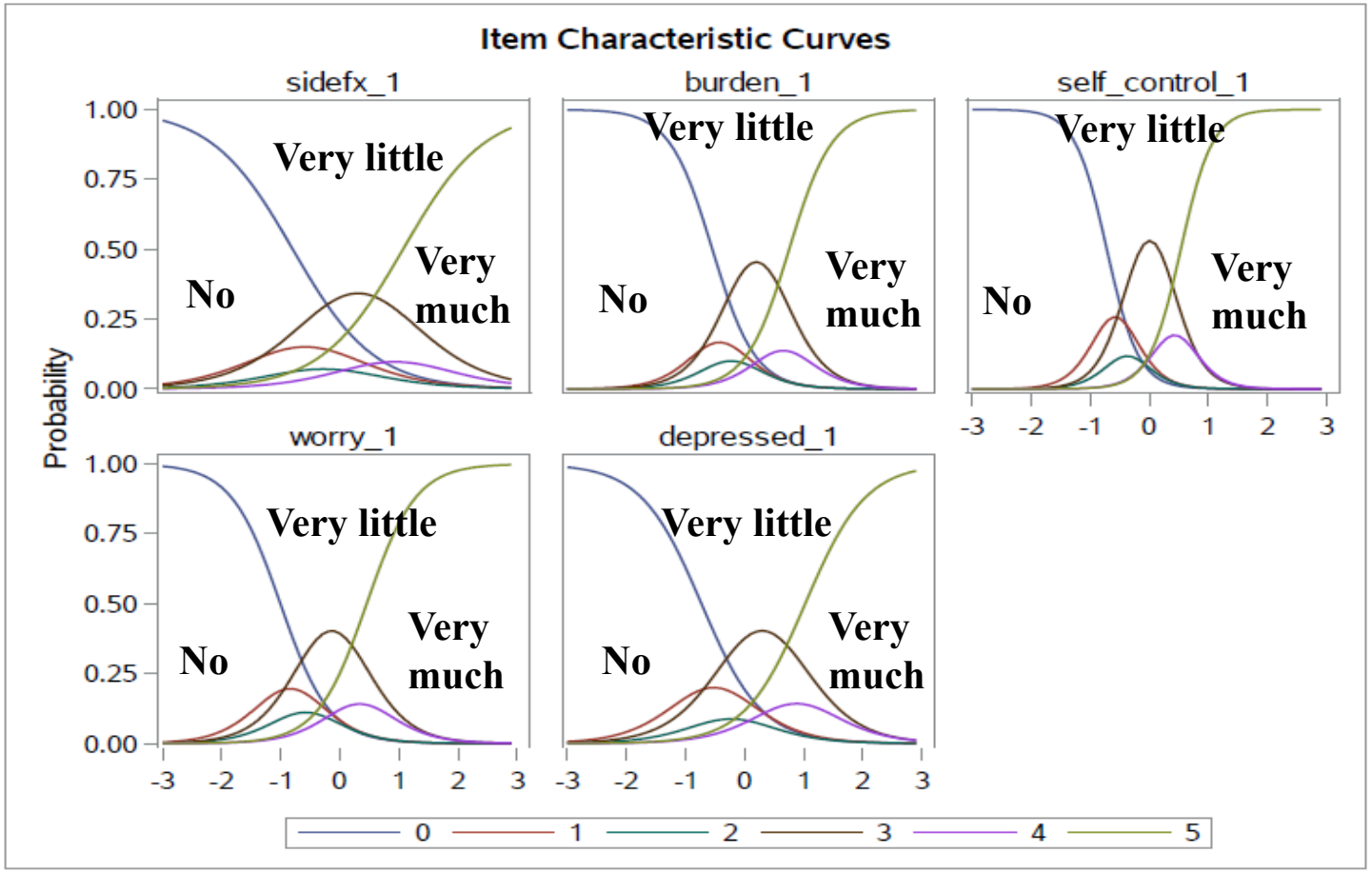
MINNESOTA LIVING WITH HEART FAILURE® QUESTIONNAIRE

The following questions ask how much your heart failure (heart condition) affected your life during the past month (4 weeks). After each question, circle the 0, 1, 2, 3, 4 or 5 to show how much your life was affected. If a question does not apply to you, circle the 0 after that question.

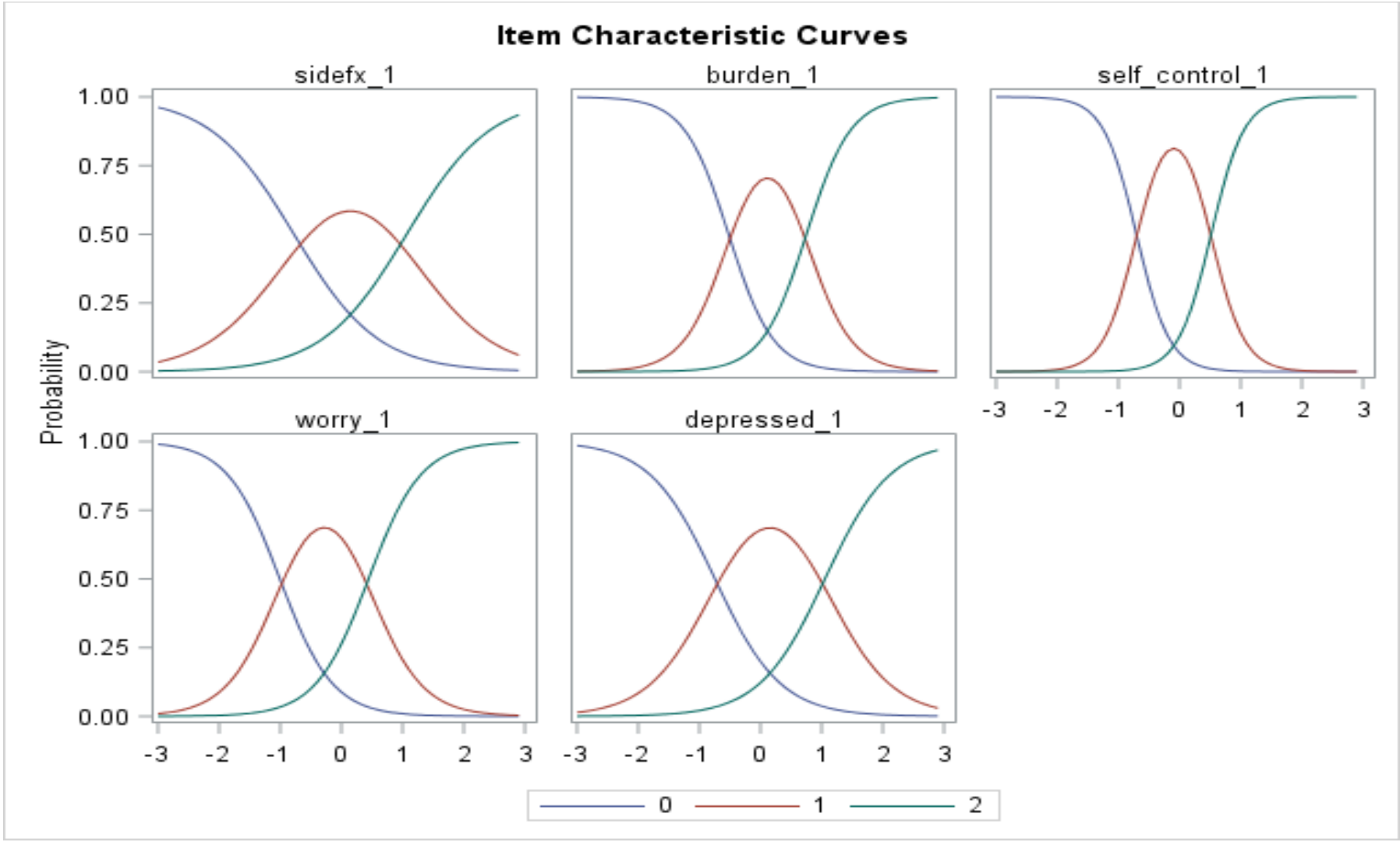
Did your heart failure prevent you from living as you wanted during the past month (4 weeks) by -	**No**			**Very Little**		**Very Much**
1. causing swelling in your ankles or legs?	0	1	2	3	4	5
2. making you sit or lie down to rest during the day?	0	1	2	3	4	5
3. making your walking about or climbing stairs difficult?	0	1	2	3	4	5
4. making your working around the house or yard difficult?	0	1	2	3	4	5
5. making your going places away from home difficult?	0	1	2	3	4	5
6. making your sleeping well at night difficult?	0	1	2	3	4	5
7. making your relating to or doing things with your friends or family difficult?	0	1	2	3	4	5
8. making your working to earn a living difficult?	0	1	2	3	4	5
9. making your recreational pastimes, sports or hobbies difficult?	0	1	2	3	4	5
10. making your sexual activities difficult?	0	1	2	3	4	5

Item Characteristic Curves for Emotional Health Scale

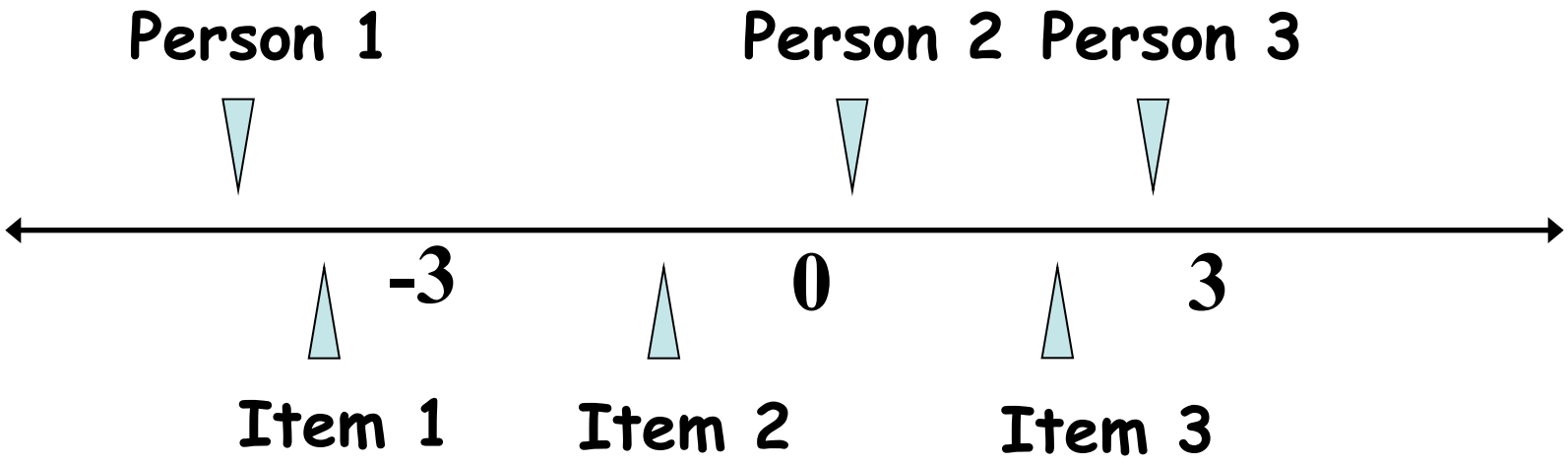
The IRT Procedure

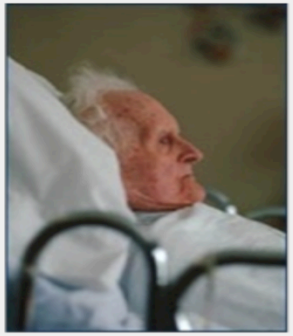


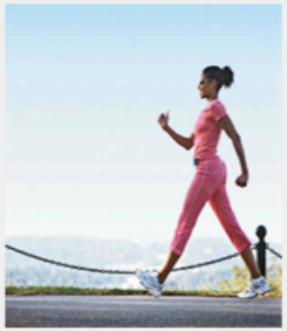
Item Characteristic Curves for Recoded Items



People and Items on Same *z-score* metric





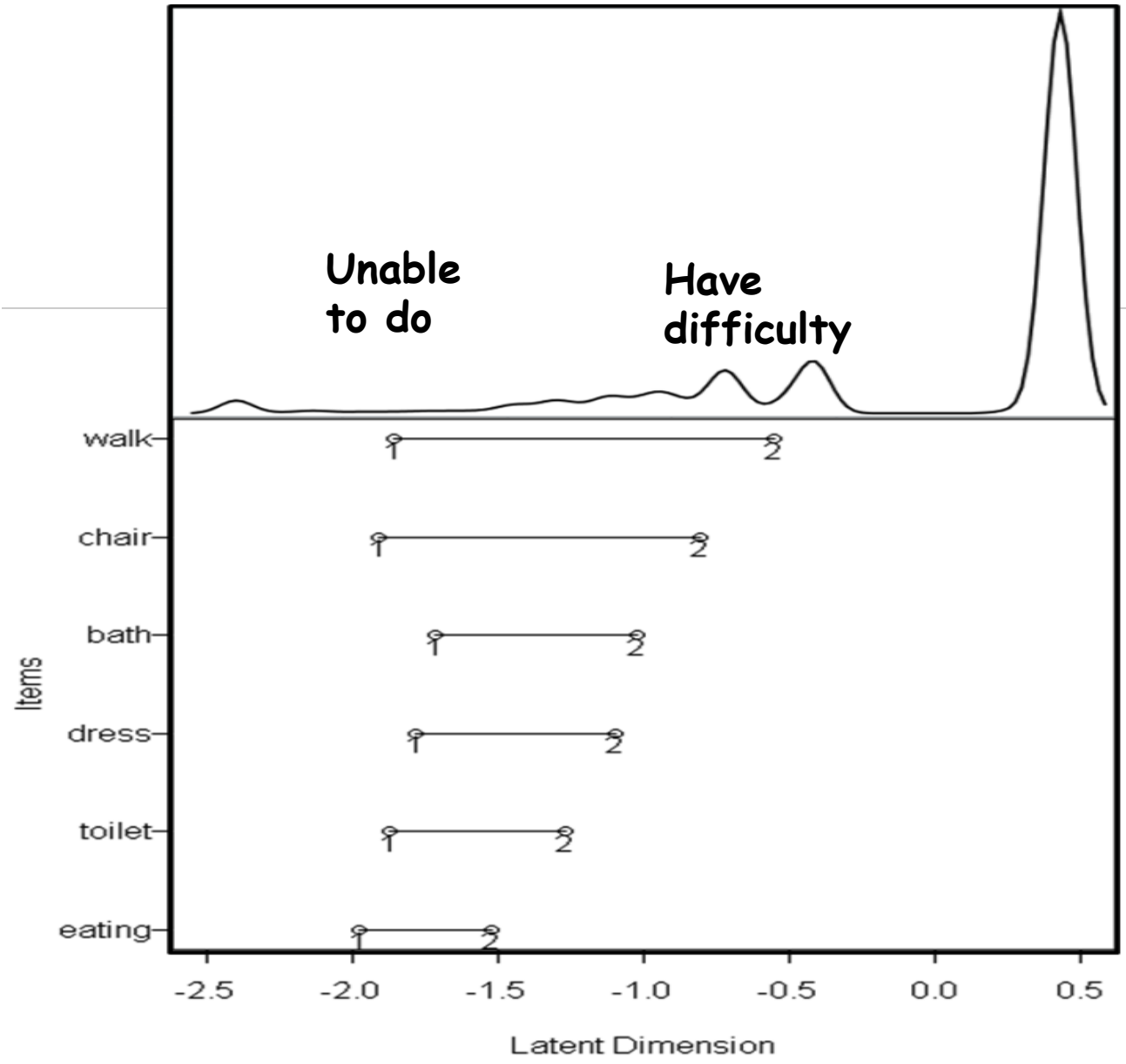

-3 0 3

Physical Functioning Item Bank

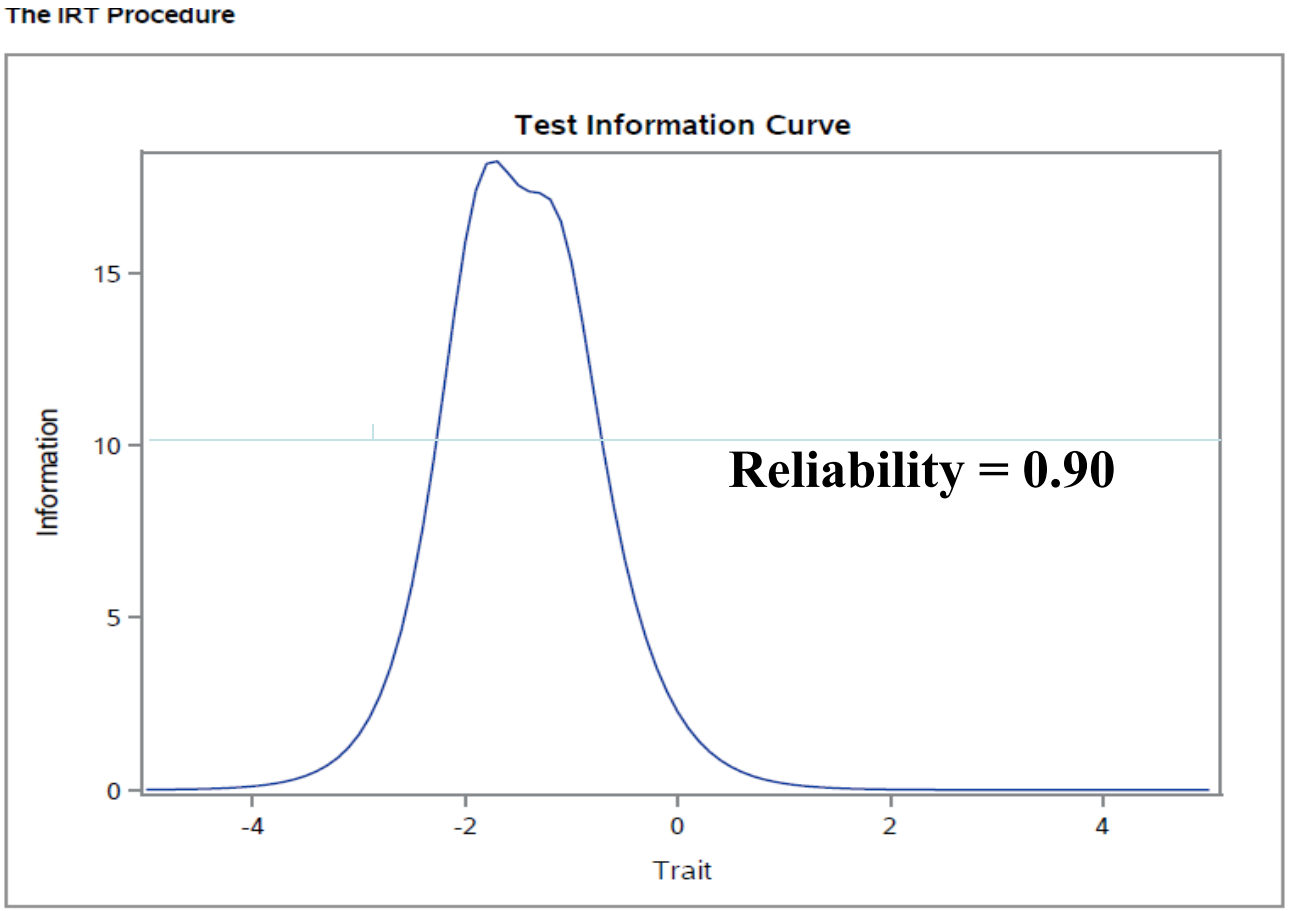
Item 1 | Item 2 | Item 3 | Item 4 | Item 5 | Item 6 | Item 7 | Item 8 | Item 9 | Item *n*

Are you able to get in and out of bed?
Are you able to stand without losing your balance for 1 minute?
Are you able to walk from one room to another?
Are you able to walk a block on flat ground?
Are you able to run or jog for two miles?
Are you able to run five miles?

Figure 2. Person-Item Map



Reliability = (Info – 1) / Info



IRT is mainstreaming

- BIGSTEPS and WINSTEPS
- PARSCALE and MULTILOG

- IRTPRO and FLEXMIRT

- SAS and STATA

Computer Adaptive Testing (CAT)

2004

www.nihpromis.org

Reliability Target for Use of Measures with Individuals

- z-score (mean = 0, SD = 1)
- Reliability ranges from 0-1
  - 0.90 or above is goal
  - SE = SD $(1 - \text{reliability})^{1/2}$
  - Reliability = $1 - SE^2$
  - Reliability = 0.90 when SE = 0.32
- 95% CI = true score +/- 1.96 x SE

  (CI = -0.63 → 0.63 z-score when reliability = 0.90)

Invariance of Item Parameters

- "Parameter values are identical in separate subgroups or across different measurement conditions."

- "It is the often misunderstood feature of parameter invariance that is frequently cited in introductory or advanced texts" (Rupp & Zumbo, 2006).

Interval-Level?

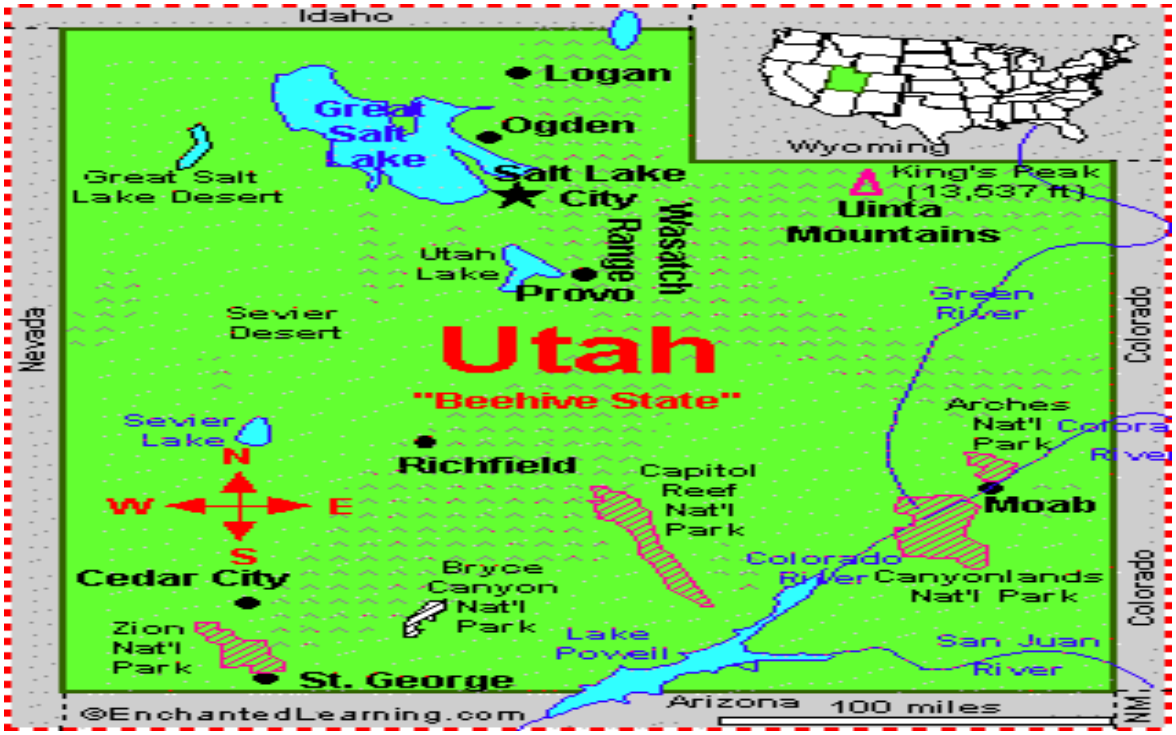
- "Modern day psychometric analyses such as Rasch analysis convert ordinal data to an interval scale so that response scores meet the criteria for measurement"

- Correlation (product-moment and ICC) between simple-summated scoring and IRT estimated score for physical functioning = 0.91

Ben Wright or Been Wrong?

- "Application of the Rasch model to the data set estimates a measure that can be considered valid."
- The "Rasch model is the only valid approach to measurement"
  - Bergan, 2013, *Rasch versus Birnbaum: New arguments in an old debate* (p. 3)

Questions?



Hays, R. D., Mallett, J. S., Gaillot, S., & Elliott, M. N. (2015). Performance of the Medicare Consumer Assessment of Healthcare Providers and Systems (CAHPS®) Physical Functioning Items. Medical Care, 54, 205-209