# Social/Psychological Theories of Behavior

Ron D. Hays, Ph.D.

David Geffen School of Medicine at UCLA

August 13, 9:30-11:30am

hays@rand.org

http://www.gim.med.ucla.edu/FacultyPages/Hays/

## Today's Topic

- Why do people behave in healthcompromising ways?
- Look at leading social/psychological theories of behavior.
- Not Andersen's Behavioral Model





## How did the driver see the woman?

- A woman is wearing black. Black shoes, socks, pants, blouse, and hat. She is walking down a black street with all the street lamps off. A black car comes towards her with its lights off but somehow manages to stop in time.
- It is daytime.



### Why do people ...

- do things that are bad for their health such as smoke cigarettes or drink too much alcohol?
- not do things that are health- enhancing like exercise or eating low fat foods?
- not do things that maximize the likelihood of better outcomes such as wearing seat belts?





## Why do people...?

- smoke cigarettes?
- drink too much alcohol?
- overeat?
- fail to follow their doctor's recommendations?





#### **Transtheoretical Model**

- Stages of Change
  - "Ordered categories along a continuum of motivational readiness to change a problem behavior"

http://www.uri.edu/research/cprc/transtheoretical.htm



## **Five Stages of Change**

- Precontemplation
- Contemplation
- Preparation\*
- Action
- Maintenance



### Precontemplation

- No intention to change behavior in the foreseeable future (next 6 months).
- Includes people who are unaware of the problem and those who know about the problem and are still not considering change.
- "I am not thinking about changing my sexual behaviors within the next 6 months to reduce the risk of getting HIV."



## Contemplation

- People are aware that a problem exists and are seriously thinking about overcoming it but have not yet made a firm commitment to take action.
- Intending to change within 6 months; open to feedback and information about how to change. However, ambivalent about the costs and benefits of their behavior.
- "I am thinking about changing my sexual behaviors within the next 6 months to reduce the risk of getting HIV."



## **Preparation\***

- Individual is intending to take action in the <u>next month</u> and has unsuccessfully taken action in the past year (combines intention and behavior criteria).
- Actively planning change and already taking some steps toward action such as reducing frequency of problem behavior.
- "I am thinking about changing my sexual behaviors within the next 30 days to reduce the risk of getting HIV."



#### **Action**

- Stage in which individuals modify their behavior, experiences, or environment in order to overcome their problems.
   Involves overt behavioral changes and requires commitment of time and energy.
- e.g., cessation of smoking has occurred and last cigarette was <u>less than 6</u> <u>months ago</u>.
- "In the last few months I have changed my sexual behaviors to reduce the risk of getting HIV."



#### **Maintenance**

- People work to prevent relapse and consolidate the gains attained during action.
- Sustaining change and resisting temptation to relapse.
- Stage extends from 6 months and beyond the initial behavioral change.
- "For more than 6 months I have changed my sexual behaviors to reduce the risk of getting HIV."



## Other aspects of Transtheoretical model

- Decisional balance
- Self-Efficacy
- Change processes



#### **Decisional Balance**

- Pros and cons combine to form a balance sheet of comparative potential gains and losses.
- Balance varies by stage of change.



## **Self Efficacy**

- Perceived ability to perform a task.
- Self efficacy predicts future behavior if there are adequate incentives and skills.



## **Processes of Change**

#### How shifts in behavior occur

- Consciousness raising
- Counter-conditioning
- Dramatic relief
- Environmental reevaluation
- Helping relationships
- Reinforcement management
- Self-liberation
- Self-reevaluation
- Social liberation
- Stimulus control



#### **Health Belief Model**

- Susceptibility
- Severity
- Costs/Benefits
- Cues/Motivation
- Barriers



## Susceptibility

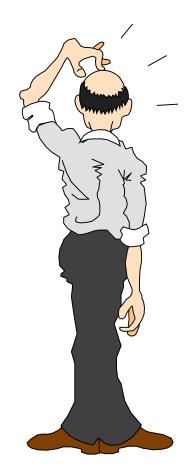


How likely one thinks a bad outcome (e.g., get sick or a disease) is if behavior persists (doesn't change).



## Severity

The consequence is perceived to be severe as opposed to mild.





#### **Benefits of Alternative Behavior**

- The alternative behavior will reduce the likelihood of the negative consequence (e.g., disease).
- Benefits outweigh costs

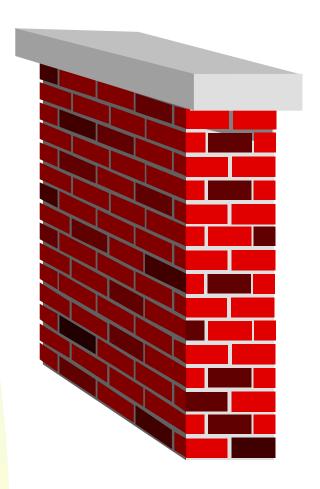


#### **Motivational cues**

 Cues (internal or external) that help convert intentions into behavior



#### **Barriers**



There are not significant psychological, financial, or other costs or barriers to engaging in the behavior.



## Not likely to continue smoking

- Jane thinks that she might get lung cancer if she continues to smoke (susceptibility).
- She believes that dying from lung cancer is terrible (severity).
- Jane does <u>not</u> find smoking to be pleasurable (cost/benefits).
- Jane friends are supportive of her quitting (absence of barrier)



# Likely to continue smoking

- Jan thinks that the tobacco industry is right--smoking doesn't cause lung cancer (susceptibility).
- She believes that dying from lung cancer is not any worse than any other way of dying (severity).
- Jan feels that smoking relaxes her (cost/ benefits).
- Jan's friends offer her cigarettes (barrier to quitting)



# Theory of Reasoned Action

- Intentions
- Attitudes
  - ◆ Beliefs (outcome expectancies)
  - Values
- Subjective Norms
  - Beliefs (about what others think you should do)
  - Motivation to comply



#### **Intentions**

"Barring unforseen events, a person will usually act in accordance with his or her intentions" (Ajzen & Fishbein, 1980, p. 5).



#### **Attitudes**

- One's positive or negative evaluation of performing a behavior
  - Beliefs: about the consequences of performing the behavior (outcome expectancies)
  - Values: appraisal (importance) of the consequences



## **Subjective Norms**

- One's perception of the social pressures to perform or not perform a behavior.
  - ◆ Beliefs: about whether specific individuals or groups think one should perform the behavior.
  - Motivation to comply with these people.



## Someone likely to drink and drive

- ATTITUDE: Bob feels more at ease with others when he drinks (beliefs about the consequences and values)
- SUBJ NORM: Bob's colleagues encourage him to drink after work (belief) and he wants them to like him (motivation to comply)
- INTENTION: Bob intends (expects) to drink with his colleagues after work and then drive home 1 or more times in the next 30 days (intentions).



# Theory of Planned Behavior

- Past Behavior
- Perceived Behavioral Control/ Locus of Control/Self-Efficacy



### **Past Behavior**

 Always the best predictor of future behavior.





#### **Behavioral Control**

- Intention -> Behavior
  - ◆ Link is problematic when behavior is not fully under the individual's control.



### Differential Association-Reinforcement Theory

- Differential association with peers, family, school, work, church groups shape behavior
- Imitation of Models
- Differential Reinforcement
- Exposure to Evaluative Definitions
- Behavioral Consequences



#### **Imitation of Models**

 We learn behavior by watching and imitating other people.





#### Differential Reinforcement

- Positive reinforcement (rewards)
- Negative reinforcement (avoidance of something bad)
- Positive punishment (aversive stimuli)
- Negative punishment (loss of reward)
  - Positive (present something)
  - Negative (take something away)
  - Reinforcement (behavior increases)
  - Punishment (behavior decreases)

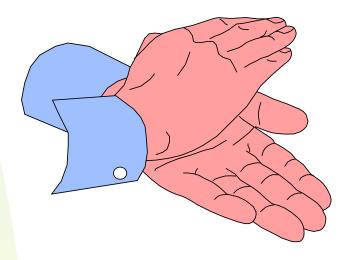


## **Exposure to Evaluative Definitions**

- The more an individual defines a behavior as good or at least justified rather than bad, the more likely they are to engage in it.
  - Evaluative definitions
    - positive, neutral, negative
    - norms, attitudes, orientations



### Behavioral Consequences



What happened after the behavior was performed?



## Person likely to overeat

- Jerry's parents are big eaters (imitation of models)
- Jerry's family serves big meal portions and encourages him to "clean your plate or you won't get dessert" (negative reinforcement)
- Jerry feels that thin people are unhealthy (evaluative definitions).
- Jerry's family praises him for finishing his meals (behavioral consequences).



## **Concluding Thoughts**



